IAP12 Rec'd PCT/PTO 04 JUN 2007

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO		ATTORNEY'S DOCKET NUMBER			
DESIGNATED/ELECTED OFFICE (DO/EO/US)		360882016200 U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			
CONCERNING A SUBMISSION		10/584,399			
INTERNATIONAL APPLICATION NO. PCT/JP2004/000816	INTERNATIONAL FILING DATE 29 January 2004	PRIORITY DATE CLAIMED 26 December 2003			
TITLE OF INVENTION					
HEATING TYPE BALLOON CATHETER DEVICE, AND ELASTIC TUBE DEVICE AND VIBRATOR DEVICE FOR THE CATHETER DEVICE					
APPLICANT(S) FOR DO/EO/US					
Kazunari HASEBE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2. X This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3. x This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. The US has been elected (Article 31).					
5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))					
a. is attached hereto (required only if not communicated by the International Bureau).					
b. has been communicated by the International Bureau.					
c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
a. is attached hereto.					
b. has been previously submitted	under 35 U.S.C. 154(d)(4).				
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
a. are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated by the International Bureau.					
c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. have not been made and will no	d. have not been made and will not be made.				
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11 An Information Disclosure Staten	nent under 37 CFR 1.97 and 1.98.				
12. x An assignment document for recor	ding. A separate cover sheet in comp	liance with 37 CFR 3.28 and 3.31 is included.			
3. A preliminary amendment.					
14. An Application Data Sheet under	An Application Data Sheet under 37 CFR 1.76.				
15. A substitute specification.					
16. A power of attorney and/or change	e of address letter.				
7. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.					
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19. A second copy of the English lang	guage translation of the international	application under 35 U.S.C. 154(d)(4).			

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER 10/584,399 PCT/JP2004/000816 360882016200 Return Receipt Postcard; Copy of Notification of Missing Requirements (2 pages); Other items or information: Recordation Form Cover Sheet (1 page) The following fees have been submitted **CALCULATIONS PTO USEONLY** Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report \$ prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... All other situations Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an \$ previously communicated to the US by the IB......\$400 All other situations. \$ 0.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) - 100 = x \$250.00 /50 = Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$ 130.00 NUMBER FILED NUMBER EXTRA RATE CLAIMS Total claims - 20 = 50.00 0.00 x 0 200.00 0.00 Independent claims - 3 = х MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ 130.00 65.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 65.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 65.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 105.00 **TOTAL FEES ENCLOSED =** \$ Amount to be \$ refunded: Amount to be \$ charged 06/06/2007 MKAYP4GH 00000101 031952 10584399 65.00 DA

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A phospitic the amount of the	worths above fees is analysis			
	over the above fees is enclosed.			
b. x Please charge my Deposit Account No. 03-1952 A duplicate copy of this sheet is enclosed.	in the amount of \$105.00 to cover the above fees.			
	ditional fees which may be required, or credit any overpayment to Deposit of this sheet is enclosed.			
d. Fees are to be charged to a credit card. WARNING: Info should not be included on this form. Provide credit card.	ormation on this form may become public. Credit card information ard information and authorization on PTO-2038			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.				
o po				
	/////			
	118/2			
SEND ALL CORRESPONDENCE TO:	SIGNATURE			
	/ GIGIVATURE			
	Barry E. Bretschneider			
	NAME			
CUSTOMER NUMBER: 25227				
	28,055			
	REGISTRATION NUMBER			
	•			

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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/584,399

Kazunari Hasebe

360882016200V

PRIORITY DATE

12/26/2003

INTERNATIONAL APPLICATION NO. PCT/JP04/00816

25227

MORRISON & FOERSTER LLIDOCKETED 1650 TYSONS BOULEVARD

SUITE 400

MCLEAN, VA 22102

REMINDER: DUE DATE:

FINAL DUE DATE:

CONFIRMATION NO. 9222

371 FORMALITIES LETTER

OC000000023248450

I.A. FILING DATE

01/29/2004

Date Mailed: 05/03/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/23/2006
- English Translation of the IA filed on 06/23/2006
- Copy of the International Search Report filed on 06/23/2006
- U.S. Basic National Fees filed on 06/23/2006
- Priority Documents filed on 06/23/2006
- Specification filed on 06/23/2006
- Claims filed on 06/23/2006
- Abstracts filed on 06/23/2006
- Drawings filed on 06/23/2006

RECEIVED BY MY RECORDS

MORRISON & FOERSTER LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Large Entity:

\$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/584,399	РСТ/ЈР04/00816	360882016200

FORM PCT/DO/EO/905 (371 Formalities Notice)